Antiretroviral-Based HIV Treatment and Prevention Strategies: Advancing Science into Practice

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> Sex, Drugs, and Rock and Roll in HIV Prevention

## Disclosures

- No antiretroviral medication is currently labeled for prevention of sexual transmission of HIV
- I have received research funding related to PrEP, antiretroviral treatment for HIV prevention, and microbicides from the US National Institutes of Health and the Bill & Melinda Gates Foundation.
- For some research studies, medication has been donated by Gilead Sciences.
- I have no other financial conflicts of interest.

## Preface

- 30 years into the HIV epidemic, new research has demonstrated that we now have powerful interventions to prevent new infections
- For the first time, there is rational discussion not just that we can fight HIV, but we stop transmission, on a large scale





#### SCIENCE

Rationale and proof of antiretrovirals for HIV prevention Sex, Drugs, and Rock and Roll

#### PRACTICE

Transitioning from scientific discovery into public health practice – challenges and opportunities *Drugs, Sex, and Getting to Work* 

## Advancing Science into Practice

## Starting point: antiretroviral medications revolutionized HIV care – US



# Global scale-up of antiretroviral treatment is a public health success



## PMTCT = antiretrovirals as treatment and prophylaxis

#### The tremendous success of PMTCT in many way presages ART and PrEP for prevention of sexual transmission









It is 9 am – this is the best that can be depicted for this slide



Fundamental principles of interventions for prevention of sexual HIV transmission



HIV transmission → (infectiousness)

→ HIV acquisition (susceptibility)

# Antiretroviral treatment for HIV prevention: building the hypothesis

- The quantity of HIV in plasma (&genital secretions) is the prime determinant of HIV transmission risk
- Initiation of antiretroviral therapy results in early and sustained reductions in plasma and genital HIV levels



# Antiretroviral treatment for HIV prevention

 Hypothesis: Treating HIV+ individuals with antiretroviral medications reduces their infectiousness and risk of transmission to partners.





## Pre-exposure prophylaxis (PrEP): the hypothesis

- In PrEP, an HIV uninfected individual uses an antiretroviral medication ahead of an HIV exposure. By having the antiretroviral in blood/tissues, PrEP may make it so that HIV is unable to establish infection.
- Analogous to prophylaxis for malaria in travelers.



## **PrEP for HIV prevention**



 Hypothesis: PrEP will reduce HIV susceptibility and risk of infection when taken by HIV- persons.



Sex

#### Antiretroviral treatment and PrEP were tested for prevention of <u>sexual</u> transmission of HIV based on strong scientific hypotheses.







## Antiretroviral treatment for HIV prevention: evidence



# Observational studies: ART and transmission in HIV serodiscordant couples

Study	Rate Ratio (95% CI)
Donnell 2010	0.08 [0.01, 0.57]
Melo 2008	0.10 [0.01, 1.67]
Reynolds 2011	0.10 [0.01, 1.64]
Sullivan 2009	0.21 [0.08, 0.56]
Del Romero 2010	0.21 [0.01, 3.75]
Musicco 1994	0.88 [0.36, 2.16]
Wang 2010	1.44 [0.85, 2.44]
TOTAL	0.34 [0.13, 0.92]

Anglemyer et al. Cochrane Reviews 2011



#### ART and HIV-1 transmission: Partners in Prevention HSV/HIV Study

	Linked HIV infections	Person Years	Rate	95% CI
No ART initiated	102	4558	2.24	(1.84-2.72)
After ART initiation	1*	273	0.37	(0.09-2.04)

Unadjusted Relative Risk = 0.17 (95% CI 0.004, 0.94), p = 0.037 Adjusted\* Relative Risk = 0.08 (95% CI 0.002, 0.57), p = 0.004

\* For time on study and CD4 count



Donnell et al. Lancet 2010



## HPTN 052: randomized clinical trial of immediate vs delayed ART in couples



## PrEP for HIV prevention: evidence



## **Tenofovir-based PrEP**



FTC/TDF (co-formulated emtricitabine + tenofovir) sold under the trade name Truvada®
 It is a daily oral pill.

Potent: Broad and potent activity (all HIV subtypes), rapidly active
 Safe: Favorable safety and tolerability, large experience as treatment
 Easy: Low pill burden, no food restrictions, few drug interactions
 Evidence: Animal models of PrEP showed high protection



# Two pivotal randomized, placebo-controlled trials of PrEP for HIV prevention

	iPrEx	Partners PrEP
Population	Men who have sex with men	Heterosexual HIV serodiscordant couples
Location	US, Brazil, Ecuador, Peru, South Africa, Thailand	Kenya, Uganda
Sample size	2499	4758
Intervention	Daily oral FTC/TDF	Daily oral FTC/TDF
HIV protection due to PrEP (FTC/TDF)	<b>44%</b> (95% CI 15-63%)	<b>75%</b> (95% CI 55-87%)





#### Clinical trials provide clear and definitive evidence that antiretroviral treatment and PrEP work for the prevention of sexual transmission of HIV.





## **Rock and Roll**



# Science BREAKTHROUGH OF THE YEAR HV Treatment as Prevention







## Advancing Science into Practice

## **Challenges and opportunities**

Antiretroviral medications – as treatment and as prophylaxis – prevent HIV transmission. We face many challenges and opportunities about how these proven strategies can be put into practice.





Antiretroviral medications – as treatment and as prophylaxis – prevent HIV transmission. We face many challenges and opportunities about how these proven strategies can be put into practice.

After the sex, drugs, and rock and roll, bound to be some hangover...





## **Challenges and opportunities**

#### Adherence

Drugs

## Adherence and risk behavior

#### Uptake and public health implementation Getting to work







## Adherence and PrEP

- There is a clear relationship between PrEP use and HIV protection in clinical trials. Divergent PrEP trial results appear to be correlated with PrEP taking behaviors.
- PREMISE: PrEP cannot work if it is not taken.



## Divergent oral PrEP efficacy trial results

Study	Population	Ν	Results
iPrEx	MSM	2499	44% efficacy FTC/TDF
TDF2 Study	Young men and women	1200	62% efficacy FTC/TDF
Partners PrEP Study	Heterosexual couples	4758	67% efficacy TDF 75% efficacy FTC/TDF
FEM-PrEP	Women	2021	6% efficacy FTC/TDF
VOICE	Women	3021 (oral arms)	No efficacy TDF FTC/TDF ongoing
Bangkok Tenofovir Study	IDUs	2400	TDF ongoing


#### Adherence and efficacy in PrEP trials

	% of blood samples with tenofovir detected	HIV protection efficacy in randomized comparison
Partners PrEP FTC/TDF arm	81%	75%
TDF2	79%	62%
iPrEx	51%	44%
FEM-PrEP	26%	6%

### There is a clear dose-response between evidence of PrEP use & efficacy



Donnell et al CROI 2012 Grant et al N Engl J Med 2010 Van Damme et al CROI 2012 Paxton et al FDA 2012

#### **Tenofovir levels and HIV protection**

 And when PrEP was taken (=detected in blood), protection was very high

	% with tenofovir detected	HIV-1 relative risk reduction: detection versus no detection of tenofovir	
		Protection	p-value
iPrEx	51%	92%	<0.001
Partners PrEP FTC/TDF arm	81%	90%	0.002



Donnell et al CROI 2012 Abstract 30 Grant et al N Engl J Med 2010

#### Adherence and perfection

 Imperfect, but still regular adherence, <u>might</u> still provide substantial HIV protection, although PrEP is still as a daily medication

	Estimated HIV risk reduction (95% CI)
2 doses/week	76% (56-96%)
4 doses/week	96% (90->99%)
7 doses/week	99% (96->99%)

Anderson et al. CROI 2012



#### Pharmacokinetics and PrEP adherence

 PK studies offered one possible mechanism for lower HIV protection in women: oral tenofovir results in >10x higher concentrations in rectal tissue than cervical and vaginal tissue.



Patterson et al. Sci Transl Med 2012

Vaginal

Cervical

Rectal



### Partners PrEP Study: PrEP does work in high-risk subpopulations

	Incidence placebo	FTC/TDF Efficacy	P-value
Overall	2.0	75%	<0.001
Women	2.8	66%	0.01
Couples w/ HIV+ partner had viral load ≥50,000 c/mL	3.9	77%	0.008
Couples with key high-risk characteristics*	5.0+	78%	0.006





Baeten et al CROI 2012 Abstract 29 \*Kahle et al CROI 2012 Abstract 1102 and unpublished

PARTNERS PrEP STUDY

#### Divergent PrEP trials: it stems from adherence

Adherence

#### Biology

marginal vaginal concentrations, inflammation, acute HIV in partner, etc. could make PrEP more sensitive to imperfect adherence, particularly in women, which could have influenced some PrEP trial results

#### **Dilution of effect**

very low adherence (missed doses, missed visits) diminishes statistical power in some clinical trials to evaluate HIV protection from PrEP

**PrEP Efficacy** 

#### Adherence and antiretroviral treatment

- In a way very similar to PrEP, antiretroviral treatment requires high adherence in order to achieve prevention benefits.
  - Viral suppression is the biologic pathway to efficacy
  - The results from HPTN 052 are very clear in this regard and were an optimized test of the biologic hypothesis that ART diminishes HIV infectiousness



#### Adherence and HPTN 052

In HPTN 052, viral suppression was near-universal, reflecting intensive strategies to achieve near-perfect adherence



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#### Putting this all together

	Antiretroviral treatment for HIV prevention	PrEP for HIV prevention
HIV prevention	96%	90-92%
effect with high adherence	(HPTN 052, near- perfect adherence)	(Tenofovir levels in iPrEx and Partners PrEP)



Two incredibly powerful prevention strategies – when adherence is high





#### Adherence Matters (Drugs)





### Adherence, adherence behavior, and risk behavior (sex)

Adherence, adherence behavior, and risk behavior

#### For PrEP:

### What do adherence patterns look like in PrEP trials?

### What does that mean for implementation?

How does adherence relates to risk?



#### Sustained use (and non-use) of PrEP: Partners PrEP Study



#### Sustained use (and non-use) of PrEP : Partners PrEP Study





#### Sustained use (and non-use) of PrEP : Partners PrEP Study





#### Adherence and habit

- In contrast to clinical trials, which followed every person randomized regardless of continued interest in PrEP, implementation of PrEP will focus on those who continue to return for PrEP refills
  - Those who don't use PrEP won't come back & will receive no benefit, but also incur no costs.
  - Those who use PrEP will achieve prevention benefits. PrEP as habit may be important for sustained use.





#### What motivates PrEP use?

- <u>Risk perception</u> is a potentially powerful driver of adherence
- Partners PrEP = serodiscordant couples
  - Known HIV+ partner, ongoing exposure, decision to maintain relationship, high adherence
- FEM-PrEP = young women
  - 70% perceived themselves to be at little or no HIV risk, very low adherence
- Understanding interface of risk perception & HIV
   prevention is key for <u>any</u> strategy



#### Risk behavior and pill taking in iPrEx

 Men who practiced unprotected receptive anal intercourse had higher PrEP use than other men, and received HIV protection (subgroup efficacy = 58%)



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- Men who practiced unprotected receptive anal intercourse had higher PrEP use than other men, and received HIV protection (subgroup efficacy = 58%)
- Men not having sex were least likely to take PrEP



#### Risk behavior and pill taking in Partners PrEP

Multivariate predictors of low adherence by unannounced pill count

	OR	p-value	
Age (increase in year)	0.96 (0.93-1.00)	0.03	
Female	0.8 (0.5-1.5)	0.52	
Socio-economic status	1.1 (0.9-1.4)	0.37	
Sex risk		0.03	
No sex	4.2 (1.9-9.3)		
100% protected	reference		
< 100% protected	1.7 (0.9-3.3)		
Sex with other partner only	1.4 (0.3-6.1)		
Sex with other + protected with index	2.2 (1.1-4.6)		
Sex with other + unprotected with index	3.3 (1.3-8.7)		
Heavy alcohol use	2.3 (1.1-4.5)	0.10	
Months on PrEP	0.98 (0.96-1.01)	0.27	
Age difference <a>&gt;&gt;10</a> years	0.3 (0.1-1.1)	0.02	



#### **PrEP and Behavior**

#### The Next Condom Conundrum

Why use a rubber when you can just pop a pill? That's what HIV-negative guys across the country are asking themselves -- and their doctors.



January 2009



#### No evidence of risk compensation in PrEP clinical trials





# HIV prevention benefits in the context of potential risk compensation

Risk compensation is an important question.

However, pretty substantial increases in risktaking would have to occur to substantially impact PrEP prevention effects.

75-100
50-75
25-50
0-25
-25-0
-50--25
-75--50



Abbas et al PLoS One 2007

Adherence, adherence behavior, and risk behavior

#### For ART:

### What does real world adherence to antiretroviral therapy look like?

And, again, its relation to sex?



### Real-world adherence to antiretroviral treatment

Systematic review of adherence (Mills et al JAMA 2006)
 – 28,689 patients in 228 studies

Resource-Rich Country 54.7% (95 CI: 48.0-61.3%)

Resource-Poor Country 77.1% (95 CI: 67.3-85.6%)



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#### Treatment cascade: US



Of 1.1 million with HIV infection in the US, only 328,000 (28%) have suppressed HIV RNA

MMWR (60), 2011



#### Willingness to start antiretrovirals

• Soweto, South Africa:



Most common reason for refusal was feeling well



#### Willingness to start antiretrovirals

• Soweto, South Africa:



- Most common reason for refusal was feeling well
- What might this look like for those with CD4>200, >350?



# Retention rates after starting antiretroviral therapy



WHO, Global HIVAIDS Response, 2011



# We have little experience with starting ART in asymptomatic persons....

 Mixed methods work in Thika, Kenya among 772 members of HIV-1 serodiscordant couples in the Partners PrEP Study

Survey question: Would you be willing to start antiretrovirals before your CD4 count reaches 350 if it would lower your chance of giving HIV to your partner?



#### **Top concerns about initiating early ART for HIV-1 prevention :**

- Side effects (51.4%)
- Stigma (20.8%)
- Pill burden (19.4%)
- Potential for earlier development of antiretroviral resistance (18.1%)



### What does it mean to patients to start ART?

Focus group discussions among HIV+ members of HIV serodiscordant couples from Thika, Kenya

- "Now if you start [ARVs] and you haven't reached 350, you will feel like you have reached another stage."
- "You know the mentality that is there when you take the ARVs, it means you are at the lowest stage and that is why people fear ARVs."

"Like me, if I am given ARVs I will think I am nearing the grave."

Curran et al. In preparation.



#### Risk behavior after starting ART

- Some data suggest that risk behaviors do not increase substantially in those starting ART (Berhan et al AIDS Res and Ther 2012)
- But little long-term data or data on those starting ART at higher CD4 counts. In several studies, pregnancy incidence increases with antiretroviral therapy.
- Incomplete genital HIV suppression with ART could mean some amount of ongoing infectious risk (Politch AIDS 2012)



#### Parallel challenges, parallel opportunities

	ART for HIV prevention	PrEP for HIV prevention
Adherence	Necessary for efficacy	Necessary for efficacy





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	Mixed evidence	Limited evidence, key theoretical concern
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Who will use?	In theory, all HIV+s. Life-long.	Target to those at highest risk. Time-limited for periods of highest risk.





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Who will use?	In theory, all HIV+s. Life-long.	Target to those at highest risk. Time-limited for periods of highest risk.	
Who will pay?	Rising need = rising costs	Where fit in the priority list?	





What does this all mean for implementation?

Getting to work

## ART implementation, 2004

"The potential short term gains ... may be far outweighed .... In Africa, a higher proportion of patients are likely to fall into the category of potential poor adherers unless resource intensive adherence programmes are available."

Pre-determining failure has not been productive in the past...



## ART implementation, 2012

- The new challenge is the ability to scale-up ART sufficiently to have an impact on the epidemic:
  - Testing  $\rightarrow$  linkage to care  $\rightarrow$  ART initiation  $\rightarrow$  sustained use
  - Most HIV-infected persons currently have high CD4 counts and lack of clinical disease
  - Large community-randomized trials to gauge impact of HIV testing and earlier ART implementation to be done (HPTN 071, Botswana, Africa Centre, Irigina)
    - But we need not wait for these to work on figuring out how to deliver ART better



### ART implementation, 2012

- Innovative, envelope-pushing implementation is already underway. These make sense to do & evaluate.
  - US DHHS guidelines evolving to higher CD4 counts, in parallel with knowledge of clinical benefits, prevention benefits, medication tolerability
    - Antiretroviral therapy (ART) is recommended for all HIV-infected individuals. The strength of this recommendation varies
      on the basis of pretreatment CD4 cell count:
      - CD4 count <350 cells/mm<sup>3</sup> (AI)
      - CD4 count 350 to 500 cells/mm<sup>3</sup> (AII)
      - CD4 count >500 cells/mm<sup>3</sup> (BIII)
  - San Francisco and New York public health departments recommending universal treatment



 Countries making policies to increase earlier access to ART: WHO Option B+ for pregnant women (Malawi), immediate initiation for HIV serodiscordant couples (Rwanda)



#### Ecological evidence: San Francisco



Das et al. PLoS One 2010



# Scaling up antiretroviral therapy for HIV prevention

 The greatest treatment (and prevention) impact is with delivery of ART to those with lower CD4 counts – and scale-up is not sufficient yet for this group

	Prior to ART initiation		
	Transmissions	Person- Years	Rate
CD4 < 200	8	91	8.8
CD4 200-350	41	1467	2.8
CD4 350-500	24	1408	1.7
CD4 ≥ 500	29	1592	1.8

Donnell et al. Lancet 2010



## PrEP implementation, 2012

- Unlike ART, the research questions here are brand-new
- Multiple open-label projects, in and outside of the US, are planned, for oral PrEP
- Primary goals: can PrEP be done?



## PrEP demonstration questions, 2012

Торіс	Question
Targeting	Who to prioritize for PrEP?
Uptake	Do those who might benefit most from PrEP want it?
Adherence	Who takes PrEP? Do they take it often enough?
Sexual behavior	PrEP use as relates to behavior?
Impact	HIV incidence? Resistance? Costs?



# FDA review of PrEP for HIV prevention

- The US FDA is currently reviewing a label indication for emtricitabine/tenofovir (Truvada®) for HIV prevention.
   On 10 May 2012 an Advisory Committee to the FDA recommended that the label indication be added.
- If approved (FDA decision expected in June), would be the first medication indication for prevention of sexual transmission of HIV.

AIDS RESEARCH

#### FDA Panel Recommends Anti-HIV Drug for Prevention

Science Magazine May 2012



### Next-generation PrEP research



Pill



Gel



Vaginal film



**Vaginal ring** 

Injectable



### Changing the conversation



### DIARRHEA



How do we talk about the benefits for treatment and PrEP?

(after years of telling people not to get HIV because antiretrovirals are awful)





# Changing the conversation

#### Antiretroviral therapy

 Treatment is health-preserving and not reflecting late-stage sickness

#### PrEP

 PrEP is not life-long – targeted months/years of PrEP might avoid 40+ years of treatment





# Guidance will come – for ART, for PrEP, for both as they relate to each other

Centers for Disease Control and Prevention

Morbidity and Mortality Weekly Report

Weekly / Vol. 60 / No. 3

January 28, 2011

Interim Guidance: Preexposure Prophylaxis for the Prevention of HIV Infection in Men Who Have Sex with Men

> GUIDANCE ON COUPLES HIV TESTING AND COUNSELLING INCLUDING ANTIRETROVIRAL THERAPY FOR TREATMENT AND PREVENTION IN SERODISCORDANT COUPLES

Recommendations for a public health approach

**APRIL 2012** 







It is not ART vs. PrEP, or ART or PrEP – greatest impact with implementing effective strategies together





From Cohen Science 2011, model from Cremin and Hallett



#### Our thoughts for next steps in couples

# Partners PrEP: PrEP among heterosexual men and women

✓ 4758 couples, in which HIV+ partner not yet eligible for ART, randomized 1:1:1 to daily oral TDF or FTC/TDF vs placebo





# Rationale for evaluation of PrEP in heterosexual HIV-1 serodiscordant couples

- Public health relevance
  - In Africa and worldwide, a substantial proportion of new HIV-1 cases occur in coupled relationships.
  - Serodiscordant couples are common: half of partners of HIV-1 infected persons are HIV-1 uninfected
  - PrEP is a strategy under the control of an HIV-1 uninfected person





# High adherence to PrEP in HIV serodiscordant couples

#### PrEP Resolves Tension in a Committed HIV Discordant Sexual Relationship

"Discordance dilemma" PrEP adherence is opportunity to mitigate tension and strengthen relationship



Ware et al. JAIDS 2012

- Both PrEP and ART have been demonstrated to provide substantial protection against HIV infection
  - ART is clearly the priority for HIV+ partners with lower CD4 counts
  - Not all HIV+ partners will start ART, or can/will start immediately
  - PrEP could be used as a time-limited "bridge" to ART start



#### HPTN 052: HIV transmissions



### PrEP and HIV-1 serodiscordant couples

 Staged use of PrEP, as a bridge to ART, could be an effective and cost-effective public health strategy



Hallett et al. PLoS Med 2011



# Demonstration project work for PrEP and antiretrovirals for HIV-1 prevention

- Subset of Partners PrEP Study sites in Kenya and Uganda
- Open-label demonstration project among new, highrisk HIV-1 serodiscordant couples
- Assess interest in, uptake of, and adherence to FTC/TDF PrEP & ART (provided according to national guidelines)
  - PrEP as bridge to ART initiation
- Timeline: mid-2012 to 2015



# Demonstration project approach – PrEP as bridge to ART in couples





Timeline: 2012 to 2015

Funding: NIMH/NIH, Bill & Melinda Gates Foundation

#### Conclusions

# Summary

- The <u>science</u> is clear: clinical trials provide clear and definitive evidence that antiretroviral treatment and PrEP work for the prevention of sexual transmission of HIV.
- Translating science into <u>practice</u> is the priority.
   PrEP and ART face parallel challenges including adherence, risk behavior, costs.





### Next steps

#### ART:

- Can we deliver more ART and deliver it better?
- Can we show, through large-scale research and operations, the big impact we expect?
- Will people take it? Especially at higher CD4 PrEP:
  - Can we figure out how to deliver this promising strategy in real-world settings?
  - Will people take it? For how long? How can motivation be increased?
- ART & PrEP together:
  - Can we maximize the benefits of these complimentary and revolutionary interventions?





# Bringing it all together: ART + PrEP, as part of combination prevention

 Now is the time to implement what works for HIV prevention. We are at a rare moment – we have a powerful package of interventions for HIV prevention that have the potential to change the direction of the epidemic.







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*This is transformative: Let's Rock and Roll* 



# Thank you

#### Partners PrEP Study team

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- Kabwohe, Uganda (KCRC): Elioda Tumwesigye, Rogers Twesigye
- Kampala, Uganda (Makarere U): Elly Katabira, Allan Ronald, Edith Nakku-Joloba
- Kisumu, Kenya (KEMRI, UCSF): Elizabeth Bukusi, Craig Cohen, Josephine Odoyo
- Mbale, Uganda (TASO, CDC): Jonathan Wangisi, Akasiima Mucunguzi
- Nairobi, Kenya (KNH/U Nairobi, UW): James Kiarie, Carey Farquhar, Grace John-Stewart, Harrison Tamooh
- Thika, Kenya (KNH/U Nairobi, UW): Nelly Mugo, Kenneth Ngure
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