



# Are Intervention (vs Control) Arm Participants in ART Adherence- Promotion Interventions More Likely to Overestimate Adherence? *Findings from the MACH14 Study*



*Jane M. Simoni, Ph.D.*  
*University of Washington*

*7<sup>th</sup> International Conference on HIV Treatment and Prevention Adherence*  
*Miami, FL*

*June 3-5, 2012*

# Collaborators

**Yan Wang, David Huh,  
Ira Wilson, Nancy Reynolds,  
Robert Remien, Kathy Goggin,  
Robert Gross, Marc Rosen,  
Neil Schneiderman, Julia Arnsten,  
Carol Golin, Judith Erlen,  
David Bangsberg, Honghu Liu**

***For MACH14 Investigators***

# Background

- Typically, intervention arm participants in ART adherence-promotion trials are exhorted to adhere to their prescribed ART regimens, possibly creating demand characteristics that would lead to overestimates of adherence. Subsequently, self-reports of adherence are often considered unacceptable, or not rigorous, in determining intervention efficacy.
- For example, in evaluating adherence interventions for possible dissemination, the CDC relegated outcomes based on self-reported adherence to the category of "good" but not "best" evidence of efficacy, which was reserved for purportedly more objective assessment methods.

# AIMS

- Determine whether intervention arm assignment (intervention versus control) moderates the association between self-reported adherence and (a) MEMS adherence or (b) VL.

# Multi-site Adherence Collaboration in HIV among 14 Institutions (MACH14)



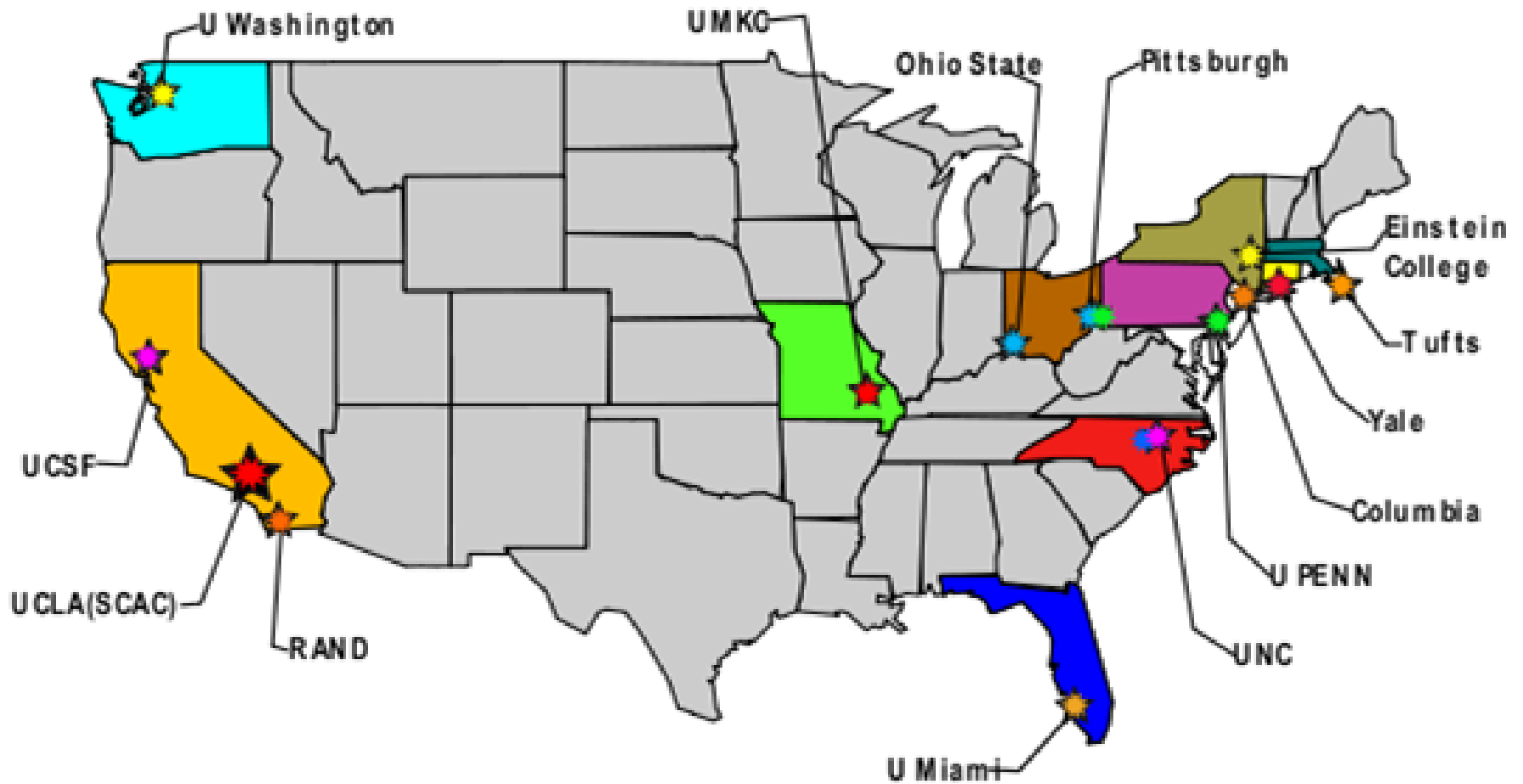
Honghu Liu, PI &  
David Bangsberg, Co-PI

**NIMH**

National Institute of Mental Health

Transforming the understanding and treatment of mental  
illness through research

# 14 Study Sites



# Pooled data from 16 studies with 2817 PLWHA

Principal Investigator	Institute	Study Name	Project Period	Number of Patients	Length of Follow-up
Julia Arnsten	Einstein College of Medicine	HIV Epidemiology Research on Outcomes (HERO Adherence Study)	1998-2004	104	6 months
David Bangsberg	UCSF	Research in Access to Care in the Homeless (REACH)	1997-2002	107	60 months
Judith Erlen	University of Pittsburgh	Adherence to Protease Inhibitors	1998-2003	215	13 months
Judith Erlen	University of Pittsburgh	Improving Adherence to Antiretroviral Therapy	2003-2008	347	19 months
Kathy Goggin	University of Missouri-Kansas City	ART Adherence: Enhanced Counseling and Observed Therapy	2004-2008	162	48 weeks
Robert Gross	University of Pennsylvania	Adherence to Protease Inhibitors in HIV	2005-2006	76	16 weeks
Honghu Liu	UCLA	Adherence and Efficacy of Protease Inhibitor Therapy (ADEPT)	2000-2003	145	48 weeks
Carol Golin	UNC Chapel Hill	Directly Observed Therapy (DOT)	2000-2005	102	48 weeks
Carol Golin	UNC Chapel Hill	Participating And Communicating Together (PACT)	1999-2004	155	12 weeks
Robert Remien	Columbia University & NYSPI	Serodiscordant Couples, Medical Adherence and HIV Risk Couples Study (SMART)	2000-2004	215	32 weeks
Nancy Reynolds	Ohio State University	AIDS Clinical Trial Group (ACTG) 731	1998-2003	109	64 weeks
Marc Rosen	Yale University	Rewards Improve Medication Compliance for HIV Treatment (REWARDS)	2002-2005	97	36 weeks
Neil Scheniderman	University of Miami	Behavioral Management and Stress Responses in HIV/AIDS	1997-2003	404	18 months
Jane Simoni	University of Washington	Peer and Pager Support to Enhance Antiretroviral Adherence (PAL)	2002-2008	224	9 months
Glenn Wagner	RAND	California Co-operative Treatment Group (CCTG) 578	2000-2002	199	48 weeks
Ira Wilson	Tufts University	Understanding and Improving Adherence in HIV Disease	2001-2003	156	24 months

# Key Measures

Self-reported adherence was assessed at immediate post-intervention for the previous 3 days, averaged across medication.

The MEMS adherence estimate for the exact corresponding interval was calculated for each participant.

VL data matching closest to the self-reported adherence date were used.



# Measures of Socio-Demographics

- Race/ethnicity
  - Black/African-American
  - Hispanic/Latino
  - White/Caucasian
- Sex
  - Male
  - Female
- Age
  - Continuous, in years
- Study site
  - One of 14 sites
- Education
  - $\leq$ 8th grade/some HS but did not graduate
  - HS graduate/some college but no degree
  - Completed college/ $>$ 4 year college degree
- Homosexual Orientation
  - Yes
  - No
- Naïve to ARV medications at baseline
  - Yes
  - No

*\*All self-reported and assessed at baseline.*

# Analytic Approach

Two regression models predicting:  
VL and MEMS

Main effects for:

Arm, self-reported adherence  
AdhXarm interaction

Models were adjusted for:

Age, sex, race/ethnicity, educ,  
sexual orientation

# Analytic Sample

$N = 1711$

The analytic sample included the 1711 participants in 9 of the 16 studies who had non-missing data on relevant variables:

Intervention arm ( $n=1055$ )

Control ( $n=656$ ) arm

# Sample Characteristics

- Race/ethnicity
  - 49% Black/African-American
  - 11% Hispanic/Latino
  - 30% White/Caucasian
- Sex
  - 70% Male
  - 30% Female
- Age
  - 41.0 (SD=8.3) years
- Study site
  - Nine of 14 sites
- Naïve to ARV at baseline
  - 15% are naïve
- Education
  - 23%  
≤8th grade/some HS but did not graduate
  - 64%  
HS graduate/some college but no degree
  - 13%  
Completed college/>4 year college degree
- Homosexual orientation
  - 42% are homosexual

*\*All self-reported and assessed at baseline.*

# RESULTS

# Overall Model for **MEMS Adherence** Outcome

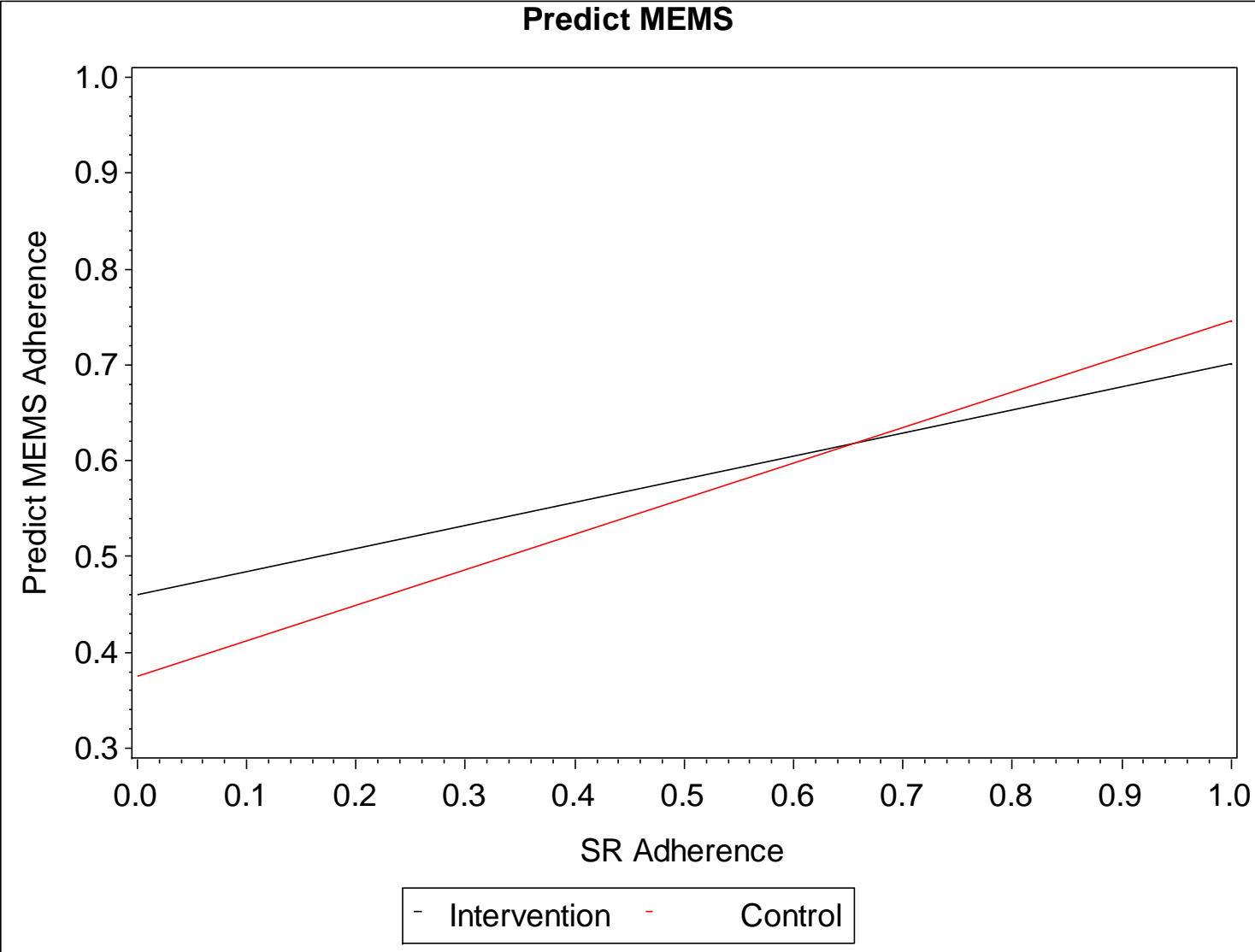
N=709

Parameter	Estimate	SD	Pr >  t
<b>SR3DADH</b>	<b>0.230</b>	<b>0.098</b>	<b>0.0192</b>
<b>Intervention</b>	<b>-0.083</b>	<b>0.126</b>	<b>NS</b>
<b>SR3DADH*intervention</b>	<b>0.124</b>	<b>0.130</b>	<b>NS</b>
Age	0.004	0.002	0.0349
Female	0.023	0.034	NS
African American	-0.035	0.032	NS
Latino	-0.089	0.053	NS
Asian/Other	0.049	0.038	NS
Less than HS	-0.029	0.050	NS
High School	-0.029	0.040	NS
Not naive to ARV	0.037	0.045	NS
Homosexual Orientation	0.054	0.032	NS

# Association of SR and MEMS Adherence, by group

- For a 1 percent increase in self-report adherence, MEMS adherence increased by:
  - 0.35% in the intervention group
  - 0.23% in the control group
- This difference was not statistically significant.

# Overall Model for MEMS Adherence Outcome





# Betas for Associations in Individual Studies

## MEMS ADHERENCE

Study	N	SR ADH(SD)	SR X INT(SD)
3	107	0.11 (0.15)	-0.28 (0.20)
4	151	0.03 (0.13)	0.36 (0.26)
6	7	NA	
9	93	<b>0.46 (0.15)</b>	0.13 (0.21)
10	22	-0.43 (0.32)	-0.82 (0.70)
11	4	NA	
12	116	0.35 (0.14)	0.11 (0.69)
13	96	0.36 (0.22)	0.20 (0.27)
14	113	Only intervention group	
Total	<b>709</b>	<b>0.23 (0.10)</b>	<b>0.12 (0.34)</b>

# Overall Model for VL Outcome

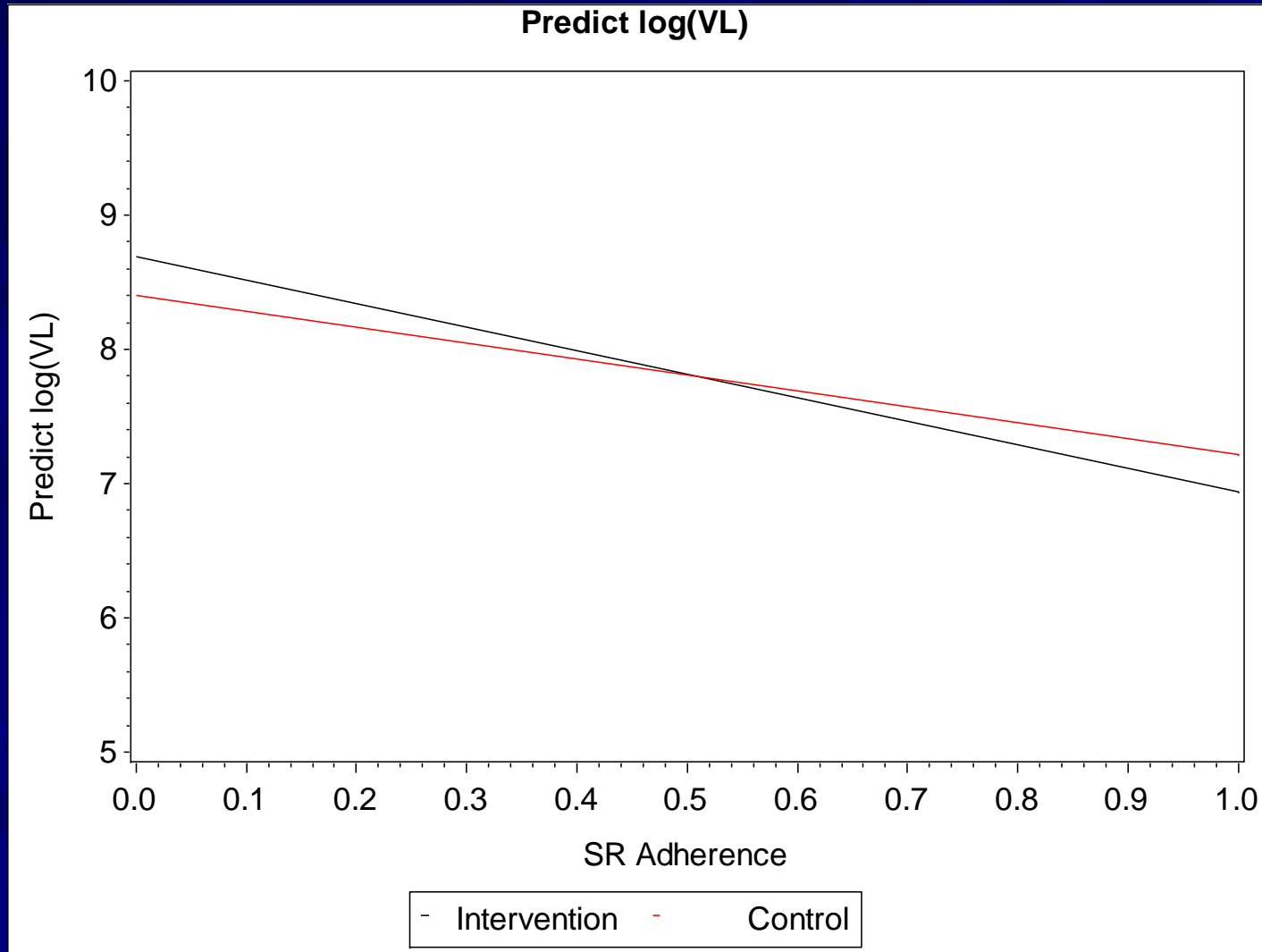
N=856

Parameter	Estimate	SD	Pr >  t
<b>SR3DADH</b>	<b>-1.526</b>	<b>0.577</b>	<b>0.0084</b>
<b>Intervention</b>	<b>-0.186</b>	<b>0.696</b>	<b>NS</b>
<b>SR3DADH*intervention</b>	<b>0.442</b>	<b>0.719</b>	<b>NS</b>
Age	-0.011	0.008	NS
Female	-0.320	0.197	NS
African American	0.337	0.176	NS
Latino	-0.175	0.195	NS
Asian/Other	0.785	0.266	0.0033
Less than HS	0.415	0.249	NS
High School	-0.056	0.183	NS
Not naive to ARV	0.527	0.175	0.0027
Homosexual Orientation	-0.401	0.192	0.0368

# Association of SR adherence and VL, by group

- For a 1 percent increase in self-report adherence, viral load decreased by:
  - 1.3% in the intervention group
  - 1.4% in the control group
- This difference was not statistically significant.

# Overall Model for VL Outcome



## Betas for Associations in Individual Studies

Log VL

Study	N	SR ADH(SD)	SR X INT(SD)
3	105	-0.51 (2.41)	0.49 (2.55)
4	34	3.47 (1.78)	-2.91 (1.61)
6	6	NA	
9	122	-1.15 (1.69)	2.06 (1.89)
10	96	-2.48 (1.51)	2.10 (1.60)
11	7	NA	
12	224	<b>-2.56 (0.93)</b>	0.62 (1.19)
13	113	<b>-2.09 (0.73)</b>	-0.64 (1.13)
14	149	Only intervention group	
Total	856	<b>-1.53 (0.58)</b>	<b>0.44 (0.72)</b>

# Summary

The association between self-reported ART adherence and (a) MEMS adherence as well as (VL) was not moderated by intervention arm assignment in these adherence-promotion trials.

# Limitations

- To enhance power, we used the 3-day adherence measure. Results may vary with other measures of self-reported adherence.
- We used linear models, but the associations may be non-linear.
- There was variation in time between self-reported adherence assessment and VL results that was not taken into account in this preliminary analysis.
- We may need to control for additional covariates (e.g., regimen type, dosing schedule).

# Discussion

- Findings suggest self-reported adherence in ART adherence promotion intervention trials is not differentially affected by study arm (at least according to the criterion outcomes of MEMS adherence and VL).
- Although self-reported adherence has been shown to inflate adherence estimates relative to more “objective” measures, it apparently is not subject to demand characteristics in intervention arm procedures.
- Self-report may constitute a valid outcome for the purposes of intervention efficacy evaluations.



# Thank you!

Jane M. Simoni, Ph.D.

[jsimoni@uw.edu](mailto:jsimoni@uw.edu)

(206) 685-3291

For more information or  
to join the ART adherence research listserv